

06-26-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

J1050 U.S. PTO
06/25/01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|------------------------|--|
| Attorney Docket No. | B0410/7282D1 |
| First Inventor | John E. Ahern |
| Title | Systems and Methods for Local Delivery of an Agent |
| Express Mail Label No. | EL208415865US |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages 24]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 4]

5. Oath or Declaration [Total Pages 1]

a. Newly executed (original or copy)
b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)

- i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
- c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney

11. English Translation Document (if applicable)

12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15. Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No. 08, 993,586

Prior application information: Examiner C. Azpuru

Group Art Unit: 1615

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

| | | | |
|---|---|-----------------------------|---|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | 022832 (Insert Customer No. or Attach bar code label here) | <input type="checkbox"/> or | <input type="checkbox"/> Correspondence address below |
|---|---|-----------------------------|---|

| | | | |
|---------|-----------|----------|--|
| Name | | | |
| Address | | | |
| City | State | Zip Code | |
| Country | Telephone | Fax | |

| | | | |
|-------------------|--|-----------------------------------|--------------------|
| Name (Print/Type) | John F. Perullo | Registration No. (Attorney/Agent) | 39,498 |
| Signature |  | | Date June 25, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PATENT
ATTORNEY'S DOCKET NO.: B0410/7282D1

Express Mail mailing label number EL208415865US Date of Deposit: June 25, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Box Patent Application, Commissioner for Patents, Washington, DC 20231.

John F. Perullo
(Typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

BOX PATENT APPLICATION
COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

SIR:

TRANSMITTED HEREWITH FOR FILING IS A PATENT APPLICATION OF:

INVENTOR(S): JOHN E. AHERN AND JAMES F. CRITTENDEN

FOR: SYSTEMS AND METHODS FOR LOCAL DELIVERY OF AN AGENT

ENCLOSED ARE:

- [XX] UTILITY APPLICATION TRANSMITTAL
- [XX] INITIAL INFORMATION DATA SHEET
- [XX] A SPECIFICATION AND CLAIMS INCLUDING 24 PAGES
- [XX] FOUR (4) SHEETS OF FORMAL DRAWINGS (FIGS. 1-7)
- [XX] DECLARATION AND POWER OF ATTORNEY FROM PARENT APPLICATION SERIAL NO. 08/993,586
- [XX] REVOCATION OF POWER OF ATTORNEY AND APPOINTMENT OF NEW ATTORNEYS FROM PARENT APPLICATION SERIAL NO. 08/993,586
- [XX] PRELIMINARY AMENDMENT

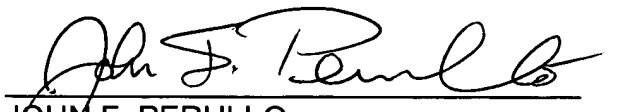
CLAIMS AS FILED

| FOR | NUMBER FILED | NUMBER EXTRA | RATE | BASIC FEE |
|--------------------|--------------|--------------|------|-----------------------------|
| | | | | \$710.00 |
| TOTAL CLAIMS | 13 | -20 | 0 | X \$18.00 = \$0.00 |
| INDEPENDENT CLAIMS | 2 | -3 | 0 | X \$80.00 = \$0.00 |
| | | | | TOTAL FILING FEE = \$710.00 |

A CHECK IN THE AMOUNT OF \$710.00 TO COVER THE FILING FEE IS
ENCLOSED.

PLEASE CHARGE OUR DEPOSIT ACCOUNT NO. 50-1721 IN THE AMOUNT
OF \$_____. A DUPLICATE COPY OF THIS SHEET IS
ENCLOSED.

THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE ANY
ADDITIONAL FEES WHICH MAY BE REQUIRED, OR CREDIT ANY
OVERPAYMENT TO ACCOUNT NO. 50-1721. A DUPLICATE COPY OF THIS
SHEET IS ENCLOSED.



John F. Perullo
JOHN F. PERULLO
REGISTRATION NO. 39,498
KIRKPATRICK & LOCKHART LLP
75 STATE STREET
BOSTON, MASSACHUSETTS 02109-1808
TEL: (617) 261-3100
CUSTOMER NO.: 022832
ATTORNEYS OF RECORD

DOCKET NO.: B0410/7282D1

DATE: JUNE 25, 2001